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PATENT
Customer No. 22,852
Attorney Docket No. 5225.0215-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kazuo SUMITA et al.

Application No.: 09/915,415

Filed: July 27, 2001

For: COMMODITY DATA
MANAGEMENT APPARATUS
AND METHOD
Commissioner for Patents and Trademarks
Washington, DC 20231

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) Group Art Unit: 2161

) Examiner: Unassigned

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Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

English abstracts of the Japanese patent documents are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

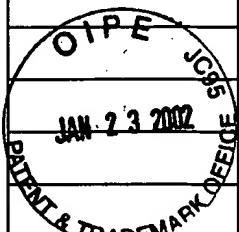
Dated: January 22, 2002

By: 
Richard V. Burgujian
Reg. No. 31,744

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	5225.0215-00	Serial No.	09/915,415
Applicant	Kazuo SUMITA et al.		
Filing Date	July 27, 2001	Group:	2161

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
						

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FOREIGN PATENT DOCUMENTS

	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	08063668 A	03 Aug. 1996	Japan	G07G	1/00	English Abstract
	06130922 A	13 May 1994	Japan	G09G	5/00	English Abstract

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce